



Billing and Credit Working Group (BACWG)

New York Independent System Operator
2019 System and Organization Controls Report

August 23, 2019



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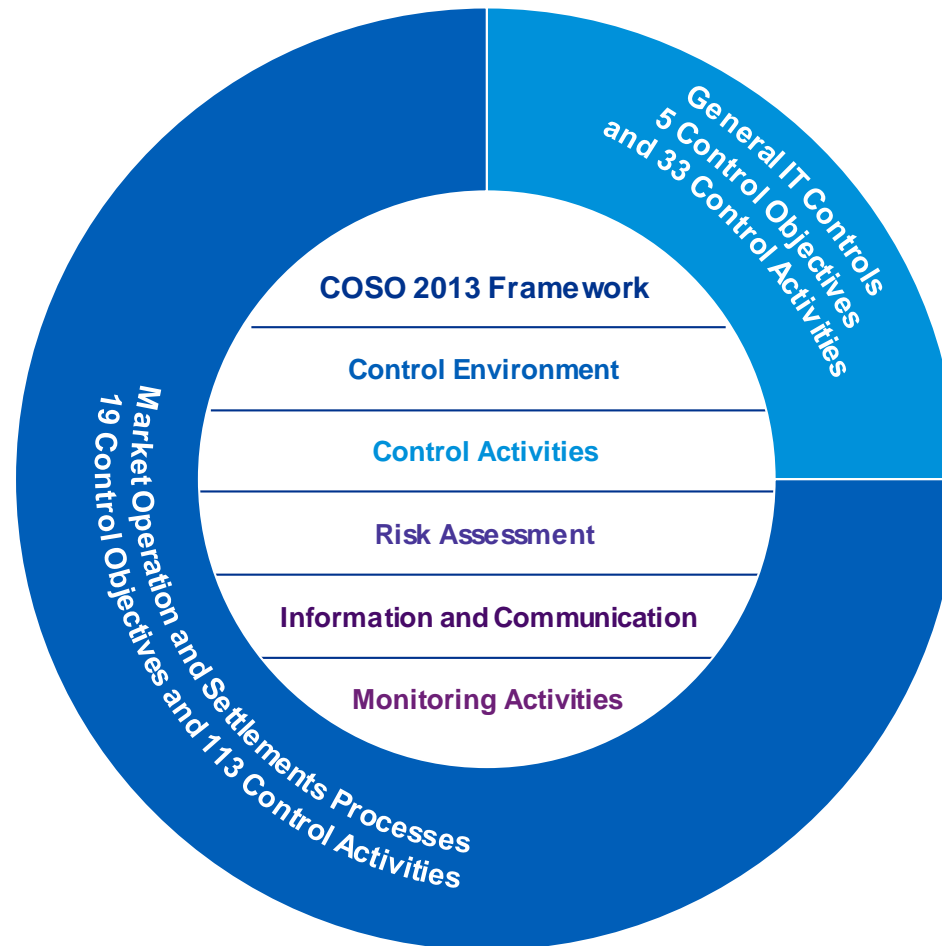
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2019 SOC 1 Project Plan and Update

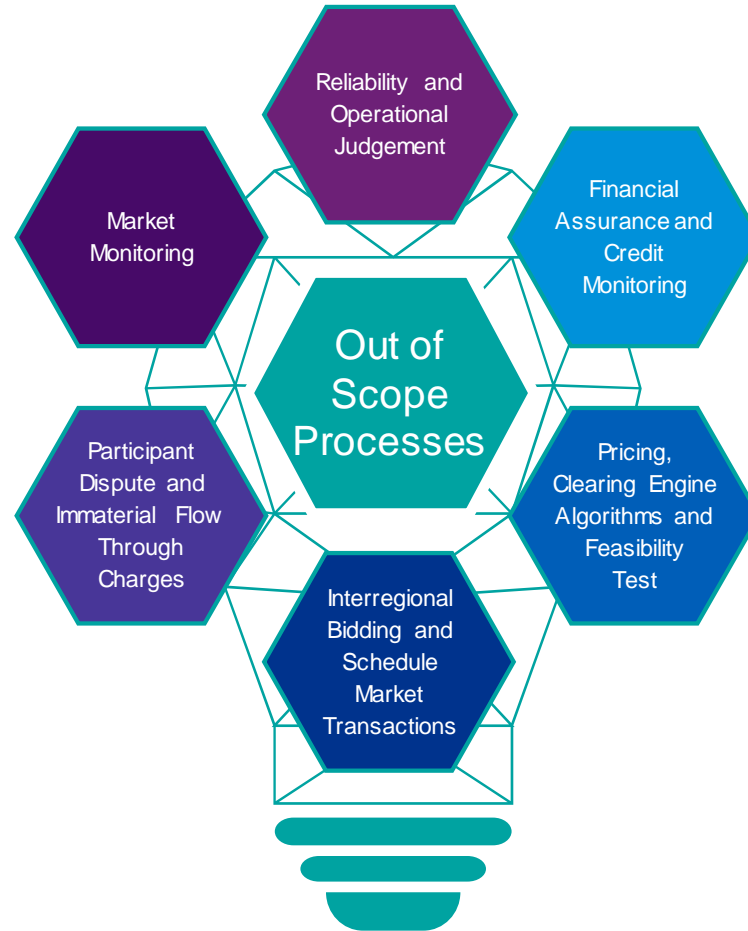


SOC 1 status update	Next Steps
<ul style="list-style-type: none"> — AICPA SSAE 18 standard: procedures, opinion, and management assertion. — Site visit 1 procedures completed: walkthroughs, test of design and test of effectiveness of control activities for the period October 2018 through mid May 2019. — Site visit 2 (August) In process: <ul style="list-style-type: none"> - Test of effectiveness mid May 2019 – August 2019 	<ul style="list-style-type: none"> — Post site visit 2 (September/October): <ul style="list-style-type: none"> - Roll forward procedures - SOC report drafts - Management assertion - Representation letter

SOC 1 Scope and Framework



SOC 1 Scope and Framework (continued)





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